



05-21-04

1742
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Attorney's Reference Number: 1085-029/ddh
Application No.: 09/932,793
Express Mail No.: EV328275604US
Deposit Date: May 19, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of CHEW, Kai Hwa

Application No.: 09/932,793

Filed: August 17, 2001

For: *Improvements in or relating to solders*

Examiner: Ip, Sikyin

Art Unit: 1742

Mail Stop: Non Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir,

Applicant submits herewith an Information Disclosure Statement and PTO 1449 with references identified in a International Preliminary Examination Report issued by the PCT on April 23, 2003 in connection with a PCT application corresponding to this U.S. application (the EPO is the examining authority).

Applicant also submits herewith a copy of the IPER, in which the Examiner indicates that claims 4, 5, 9, 10, 14 and 15 of the International Application (no. PCT/GB02/00259) are directed to novel and inventive subject matter. Applicant points out that claims 4, 5, 9, 10, 14 and 15 of the International Application are broader in scope than the claims now pending in this application. Applicant is not amending the claims in this application at this time, but points out that where the EPO considers broader claims to be allowable, the narrower claims in this application are likewise allowable.

Respectfully submitted,

Douglas D. Hancock
Registration No. 35,889

May 19, 2004

ipsolon llp
Customer Number 21034



PATENT APPLICATION

ATTORNEY DOCKET NO. 1085-029/ddh

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): CHEW Kai Hwa

Confirmation No.:

Application No.: 09/932,793

Examiner: IP, Sikyin

Filing Date: Aug. 17, 2001

Group Art Unit: 1742

Title: Improvements in or Relating to Solders

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account _____ the sum of _____. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account _____ pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV 328275604 US

Date of Deposit May 19, 2004

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By _____

Typed Name: Douglas D. Hancock

Respectfully submitted,

CHEW Kai Hwa

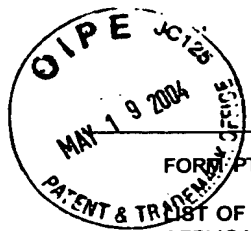
By _____

Douglas D. Hancock

Attorney/Agent for Applicant(s)

Reg. No. 35889

Date: May 19, 2004

**PATENT APPLICATION**

Sheet 1 of 1

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

1085-029/ddh

APPLICATION NO.

09/932,793

CONFIRMATION NO.

APPLICANT

CHEW Kai Hwa

FILING DATE

Aug. 17, 2004

GROUP

1742**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	WO 97/43456	11/20/1997	Northwestern Univ.		
	1M	09-155586	06/17/1997	Uchihasi Estec Co.		x
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	International Preliminary Examination Report, PCT Application No. PCT/GB02/00259
	1R	
	1S	

EXAMINER

DATE CONSIDERED